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(54) Title: **NANOSCALE ZINC OXIDE, PROCESS FOR ITS PRODUCTION AND USE**

(57) Abstract: Nanoscale, pyrogenically produced zinc oxide having a BET surface area of 10 to 200 m<sup>2</sup>/g, which is in the form of aggregates of anisotropic primary particles and whereby the aggregates display an average diameter of 50 to 300 nm. It is obtained from zinc powder, which is converted to zinc oxide powder in the four successive reaction zones, evaporation zone, nucleation zone, oxidation zone and quench zone. It can be used in sunscreen formulations.

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**Nanoscale zinc oxide, process for its production and use**

The invention provides nanoscale, pyrogenically produced zinc oxide powder, a process for its production and its  
5 use.

Many types of zinc oxide powders are described. These powders are used in paints, coatings, in resins and fibres. An important segment is the use of zinc oxide powders in the cosmetics area, particular as an ingredient in  
10 sunscreen formulations.

In principle there are two possibilities for synthesising zinc oxide powders, wet chemical processes and gas phase processes. In wet chemical processes, zinc compounds that can be converted to zinc oxide by a thermal reaction, such  
15 as zinc hydroxide, zinc oxalate or zinc carbonate, are generally used as the starting material. The disadvantage of the wet chemical method is that the zinc oxide particles that are produced agglomerate to larger units, which in cosmetic applications in particular are undesirable. The  
20 process, which is usually performed as a batch process, involves filtering and drying the particles, which is relatively cost-intensive.

Furthermore, impurities arising from the process and starting materials can only be removed from the finished  
25 product with great difficulty, if at all.

Gas phase processes or pyrogenic processes allow a more cost-effective process. These include the French and American processes that are used to produce zinc oxide on an industrial scale.

30 Both processes involve the oxidation of zinc vapour. The disadvantage here is the formation of large aggregates of primary particles and a small BET surface area.

The prior art describes various possibilities for gas phase synthesis with the aim of achieving a larger BET surface area, improved transparency and higher UV protection.

5 Ultimately all of these experiments have the oxidation of zinc vapour in common.

JP 56-120518 describes the oxidation of zinc vapour with air or oxygen to form non-aggregated, acicular zinc oxide particles, which can often be incorporated into sunscreen formulations only with difficulty.

10 US 6,335,002 describes the oxidation of zinc vapour with air or oxygen. By varying the process parameters, primary particles that are substantially isotropic in shape and have a low degree of aggregation are said to be formed, although no definition of the degree of aggregation is  
15 provided. The zinc vapour is oxidised in a flame of H<sub>2</sub> or propane and air or oxygen, whereby an excess of oxygen is used.

Overall, regardless of production, the prior art provides numerous types of zinc oxide in acicular, spherical,  
20 tetrahedral, rod and flake form, as described for example in US 5,441,226.

The prior art illustrates the keen interest in zinc oxide, particularly in its use as UV protection in sunscreen formulations.

25 The object of the present invention is to provide a zinc oxide powder that displays high transparency combined with good UV protection. It should further be able to be readily incorporated into dispersions. Furthermore the object is to provide a process for the production of zinc oxide powder.

30 The object is achieved by a nanoscale, pyrogenically produced zinc oxide powder having a BET surface area of 10 to 200 m<sup>2</sup>/g, characterised in that it is in the form of

aggregates of anisotropic primary particles and that the aggregates display an average diameter of 50 to 300 nm.

The primary particles are understood to be the smallest particles in high-resolution TEM images, which are  
5 obviously unable to be broken down any further. Several primary particles can congregate at their points of contact to form aggregates. These aggregates are either impossible or very difficult to break down again using dispersing devices. Several aggregates can join together loosely to  
10 form agglomerates, whereby this process can be reversed again by suitable dispersion.

The term anisotropic means that the arrangement of atoms differs along the three spatial axes. Anisotropic primary particles include for example those that are acicular,  
15 nodular or platelet-shaped. A cubic or spherical arrangement, for example, would be isotropic.

Pyrogenic refers to the formation of oxides by flame oxidation of metals or non-metals or compounds thereof in the gas phase in a flame produced by reaction of a fuel  
20 gas, preferably hydrogen, and oxygen. Highly disperse, non-porous primary particles are initially formed which, as the reaction continues, coalesce to form aggregates, and these can congregate further to form agglomerates.

In a particular embodiment the aggregates can comprise a  
25 mixture of nodular primary particles and acicular primary particles, whereby the ratio of nodular to acicular primary particles can be between 99:1 and 1:99.

The nodular primary particles preferably display an average diameter of 10 to 50 nm and the acicular primary particles  
30 preferably display a length of 100 nm to 2000 nm and a width of 10 nm to 100 nm.

The aggregates in the powder according to the invention can display a largely anisotropic structure, defined by a shape

factor  $F(\text{circle})$  of below 0.5. The variable  $F(\text{circle})$  describes the deviation of an aggregate from a perfect circular shape. In a perfect circular object  $F(\text{circle})$  equals 1. The lower the value, the further removed the object structure from the perfect circular shape. The parameter is defined according to ASTM 3849-89.

The powder according to the invention can display at its surface an oxygen concentration as non-desorbable moisture in the form of  $\text{Zn-OH}$  and/or  $\text{Zn-OH}_2$  units of at least 40%. It is determined by XPS analysis (XPS = X-ray photoelectron spectroscopy) of the oxygen signals at 532 to 533 eV and 534 to 535 eV.

The powder according to the invention can preferably display a transmission of no more than 60% at a wavelength of 310 nm and 360 nm.

In a particular embodiment the bulk density of the powder according to the invention is 40 to 120 g/l.

The invention also provides a process for the production of the powder according to the invention, which is characterised in that zinc powder is converted into zinc oxide powder in four successive reaction zones, evaporation zone, nucleation zone, oxidation zone and quench zone,

- ♦ whereby in the evaporation zone the zinc powder conveyed there by an inert gas stream is evaporated in a flame of air and/or oxygen and a fuel gas, preferably hydrogen, under the proviso that the reaction parameters are chosen such that oxidation of the zinc does not occur,

- ♦ and whereby in the nucleation zone, where the hot reaction mixture, consisting of zinc vapour, water vapour as a reaction product of the flame reaction and optionally excess fuel gas, arrives from the evaporation zone, it cools to temperatures below the boiling point of zinc or is cooled by means of an inert gas,

- ♦ and whereby in the oxidation zone the mixture from the nucleation zone is oxidised with air and/or oxygen,
- ♦ and whereby in the quench zone the oxidation mixture is cooled to temperatures of below 400°C by addition of cooling gas (for example nitrogen, air, argon, carbon dioxide).

The process can be performed in such a way that in the evaporation zone an excess of fuel gas is used, expressed in lambda values of 0.5 to 0.99, preferably 0.8 to 0.95.

- 10 In a particular embodiment the process can be performed in such a way that the temperature in the evaporation zone is preferably between 920 °C and 2000°C. In the nucleation zone the temperature can preferably be between 500°C and 900°C, particularly preferably between 700°C and 800°C.
- 15 Furthermore the cooling rate
- ♦ in the nucleation zone can preferably be between 100 Kelvin/seconds and 10000 Kelvin/seconds, particularly preferably between 2000 Kelvin/seconds and 3000 Kelvin/seconds and
  - 20 ♦ in the quench zone the cooling rate can preferably be between 1000 Kelvin/seconds and 50000 Kelvin/seconds, particularly preferably between 5000 Kelvin/seconds and 15000 Kelvin/seconds.

The residence time of the reaction mixture in the

- 25 ♦ evaporation zone can preferably be between 0.1 seconds and 4 seconds, preferably between 0.5 seconds and 2 seconds,
- ♦ in the nucleation zone between 0.05 seconds and 1.00 seconds, preferably between 0.1 seconds and 0.2 seconds,

- ♦ in the oxidation zone between 5 milliseconds and 200 milliseconds, preferably between 10 milliseconds and 30 milliseconds,
- ♦ and in the quench zone between 0.05 seconds and 1.00 seconds, preferably between 0.1 seconds and 0.2 seconds.

The process can also be performed in such a way that air and/or oxygen and the fuel gas can be supplied to one or more points within the evaporation zone.

The zinc oxide powder can be separated from the gas stream by means of a filter, cyclone, washer or other suitable separators.

The powder according to the invention can be used as a sunscreen, as a vulcanising agent, a dye in inks, in synthetic resins, in pharmaceutical and cosmetic preparations, as a ceramic raw material, as a catalyst.

The novel zinc oxide powder according to the invention receives its properties, such as e.g. defined aggregate size and low transmission values in the UV range, which are important for applications in sunscreen formulations for example, through the novel production process. Unlike the prior art, which in the case of pyrogenic processes always starts from the oxidation of zinc vapour, in the process according to the invention the zinc vapour is cooled below the boiling point of zinc before oxidation. This leads to a nucleation, a formation of zinc crystallites. The mechanism of this formation and the structure of the crystallites is not explained. The morphology of the zinc powder can be varied by varying the process parameters, such as e.g. cooling rates, residence times and/or temperatures.

## Examples

### Analytical methods

The BET surface area is determined according to DIN 66131.

5 The transmission electron micrographs were obtained with a Hitachi transmission electron microscope, model H-75000-2. Approximately 500 to 600 aggregates were analysed by means of the CCD camera in the transmission electron microscope.

10 The variable  $F(\text{shape})$  equals the quotient of the minimum to the maximum aggregate diameter. The variable  $F(\text{circle})$  is calculated as  $F(\text{circle}) = 4\pi \times \text{average surface area} / 2 (P)$ , where  $P$  = circumference of the aggregates.

15 The variables  $F(\text{shape})$  and  $F(\text{circle})$  describe the deviation of a particle from a perfect circular shape.  $F(\text{shape})$  and  $F(\text{circle})$  are 1 for a perfect circular object. The lower the value, the further removed the object structure from the perfect circular shape.

The parameters are defined according to ASTM3849-89.

20 The surface properties are determined by large-area ( $1 \text{ cm}^2$ ) XPS analysis (XPS = X-ray photoelectron spectroscopy), both in the original condition and after 30 minutes' surface erosion by ionic bombardment (5 keV argon ions). Fine structures of the oxygen signals are determined by Gaussian/Lorentzian curve analyses for oxygen.

25 One-percent aqueous solutions are used for the transmission measurements. Dispersion is performed by means of an ultrasonic instrument from Bandelin Elektronik. The sonication period is one minute. The measurements are taken using a Perkin Elmer Lambda 2 UV/Vis Spectrometer.

30 The bulk density was determined in accordance with DIN-ISO 787/XI.



**Examples**

Figure 1 shows a flow diagram of the process according to the invention with the process stages and the incoming and outgoing mass flows.

- 5 There is: I = evaporation; II = nucleation; III = oxidation; IV = quenching; A = zinc oxide powder + inert gas; B = zinc vapour, water, (fuel gas); C = Zinc particles, water, (inert gas, fuel gas); D = zinc oxide particles, water, (inert gas); a = fuel gas, air/O<sub>2</sub> ; b =  
10 cooling (inert gas); c = air/O<sub>2</sub>; d = cooling gas.

**Example 1:**

- Zinc powder (250 g/h, particle size  $\leq 5 \mu\text{m}$ ) is conveyed by means of a nitrogen stream (1.5 m<sup>3</sup>/h) into an evaporation  
15 zone, where a hydrogen/air flame (hydrogen: 4.25 m<sup>3</sup>/h, air: 8.40 m<sup>3</sup>/h,  $\lambda = 0.82$ ) is burning. The zinc powder is evaporated here. The reaction mixture consisting of zinc vapour, hydrogen, nitrogen and water is then cooled to a temperature of 850°C by the addition of 1 m<sup>3</sup>/h nitrogen. 5  
20 m<sup>3</sup>/h oxidation air and 34 m<sup>3</sup>/h quench air are then added, whereby the reaction temperature falls to values below 400°C. The zinc oxide powder obtained is separated from the gas stream by filtration.

**25 Example 2**

Same as Example 1, whereby the parameters are altered to the values shown in Table 1.

**Example 3 (comparative example)**

Same as Example 1, except with an excess of air compared to oxygen in the evaporation zone. The parameters are altered to the values shown in Table 1.

**Example 4 (comparative example)**

Same as Example 1, except with no nucleation zone, the temperature prior to oxidation does not fall below the boiling point of zinc. The parameters are altered to the values shown in Table 1.

The characterisation of the products obtained from these examples is shown in Table 2.

Evaluation of the image analysis reveals the clearest differences between the zinc oxide powders according to the invention and the prior art for the average surface area of the particles, the aggregate sizes and the shape factor  $F(\text{circle})$ .

XPS analyses were performed of the zinc oxide powders according to the invention from Examples 1 and 2. It was found that the moisture content as non-desorbable oxygen in the form of Zn-OH and Zn-OH<sub>2</sub> units is 55.5 % (Example 1) and 48.3 % (Example 2). The moisture is thus significantly higher for example in the Nanotek Zinc Oxide product from Nanophase Technologies.

Figure 2 shows a transmission electron micrograph of the powder according to the invention. Aggregates of nodular and acicular aggregates can clearly be seen.

**Table 1: Process parameters**

		<b>Example 1</b>	<b>Example 2</b>	<b>Example 3<sup>(1)</sup></b>	<b>Example 4<sup>(1)</sup></b>
Evaporation	Zinc	250	300	300	250
	Nitrogen	1.5	1.5	1.0	2
	Hydrogen	4.3	4.6	5	4.5
	Air	8.4	9.0	22	20.5
	Lambda	0.82	0.84	1.8	1.9
Nucleation	Cooling gas	1	1.5	1	0.5
	Temperature	850	870	1050	960
Oxidation	Oxidation air	5.0	4.0	4	-
Quenching	Quench gas	34.0	30.0	10	-
	Temperature	285	296	424	526

(1) Comparative example

**Table 2: Product properties**

	<b>Example 1</b>	<b>Example 2</b>	<b>Example 3<sup>(1)</sup></b>	<b>Example 4<sup>(1)</sup></b>
BET surface area	m <sup>2</sup> /g	36	20	7.5
Average surface area	nm <sup>2</sup>	5306	15762	61070
Average aggregate diameter	nm	75	133	186
Average primary particle size	nm	17	24	43
Shape factor F(shape)		0.61	0.61	0.59
Shape factor F(circle)		0.37	0.32	0.43
Bulk density	g/l	80	62	90
Transmission	%	50	56	60
Morphology		Predominantly nodular aggregates	Aggregates consisting of needles and nodules	Non-aggregated needles and tetrahedra
				Predominantly needles, non-aggregated

(1) Comparative example

**Claims**

1. Nanoscale, pyrogenically produced zinc oxide powder having a BET surface area of 10 to 200 m<sup>2</sup>/g, characterised in that it is in the form of aggregates of anisotropic primary particles and that the aggregates display an average diameter of 50 to 300 nm.
2. Powder according to claim 1, characterised in that the aggregates comprise a mixture of nodular primary particles and acicular primary particles, whereby the ratio of nodular to acicular primary particles is between 99:1 and 1:99.
3. Powder according to claims 1 or 2, characterised in that the nodular primary particles display an average diameter of 10 to 50 nm and the acicular primary particles a length of 100 nm to 2000 nm and a width of 10 nm to 100 nm.
4. Powder according to claims 1 to 3, characterised in that the aggregates display a largely anisotropic structure, defined by a shape factor  $F(\text{circle})$  of below 0.5.
5. Powder according to claim 1 to 4, characterised in that the oxygen concentration at the surface of the powder as non-desorbable moisture in the form of Zn-OH and/or Zn-OH<sub>2</sub> units, determined by XPS analysis of the oxygen signals at 532 to 533 eV and 534 to 535 eV, is at least 40%.
6. Powder according to claim 1 to 5, characterised in that the transmission at a wavelength of 310 nm and 360 nm is no more than 60 %.
7. Powder according to claims 1 to 6, characterised in that the bulk density is between 40 and 120 g/l.

8. Process for the production of the powder according to claims 1 to 7, characterised in that zinc powder is converted to zinc oxide powder in four successive reaction zones, evaporation zone, nucleation zone, oxidation zone and quench zone,

whereby in the evaporation zone the zinc powder conveyed there by an inert gas stream is evaporated in a flame of air and/or oxygen and a fuel gas, preferably hydrogen, under the proviso that the reaction parameters are chosen such that oxidation of the zinc does not occur,

and whereby in the nucleation zone, where the hot reaction mixture, consisting of zinc vapour, water vapour as a reaction product of the flame reaction and optionally excess fuel gas, arrives from the evaporation zone, it cools to temperatures below the boiling point of zinc or is cooled by means of an inert gas,

and whereby in the oxidation zone the mixture from the nucleation zone is oxidised with air and/or oxygen,

and whereby in the quench zone the oxidation mixture is cooled to temperatures of below 400°C by addition of cooling gas.

9. Process according to claim 8, characterised in that in the evaporation zone an excess of fuel gas is used, expressed in lambda values of 0.5 to 0.99, preferably 0.8 to 0.95.

10. Process according to claims 8 or 9, characterised in that the temperature in the evaporation zone is between 920 °C and 2000°C and in the nucleation zone is between 500°C and 900°C, particularly preferably between 700°C and 800°C.

11. Process according to claims 8 to 10, characterised in that the cooling rate in the nucleation zone is between

100 Kelvin/seconds and 10000 Kelvin/seconds,  
particularly preferably between 2000 Kelvin/seconds and  
3000 Kelvin/seconds, and in the quench zone is between  
1000 Kelvin/seconds and 50000 Kelvin/seconds,  
5 particularly preferably between 5000 Kelvin/seconds and  
15000 Kelvin/seconds.

12. Process according to claims 8 to 11, characterised in  
that the residence time in the evaporation zone is  
between 0.1 seconds and 4 seconds, particularly  
10 preferably between 0.5 seconds and 2 seconds, in the  
nucleation zone is between 0.05 seconds and 1.00  
seconds, particularly preferably between 0.1 seconds  
and 0.2 seconds, in the oxidation zone is between 5  
milliseconds and 200 milliseconds, particularly  
15 preferably between 10 milliseconds and 30 milliseconds,  
and in the quench zone is between 0.05 seconds and 1.00  
seconds, particularly preferably between 0.1 seconds  
and 0.2 seconds.

13. Process according to claims 8 to 12, characterised in  
20 that air and/or oxygen and the fuel gas can be supplied  
to one or more points within the evaporation zone.

14. Process according to claims 8 to 13, characterised in  
that the zinc oxide powder is separated from the gas  
stream by means of a filter, cyclone, washer or other  
25 suitable separators.

15. Use of the powder according to claims 1 to 7 as a  
sunscreen, as a vulcanising agent, a dye in inks, in  
synthetic resins, in pharmaceutical and cosmetic  
preparations, as a ceramic raw material, as a catalyst.



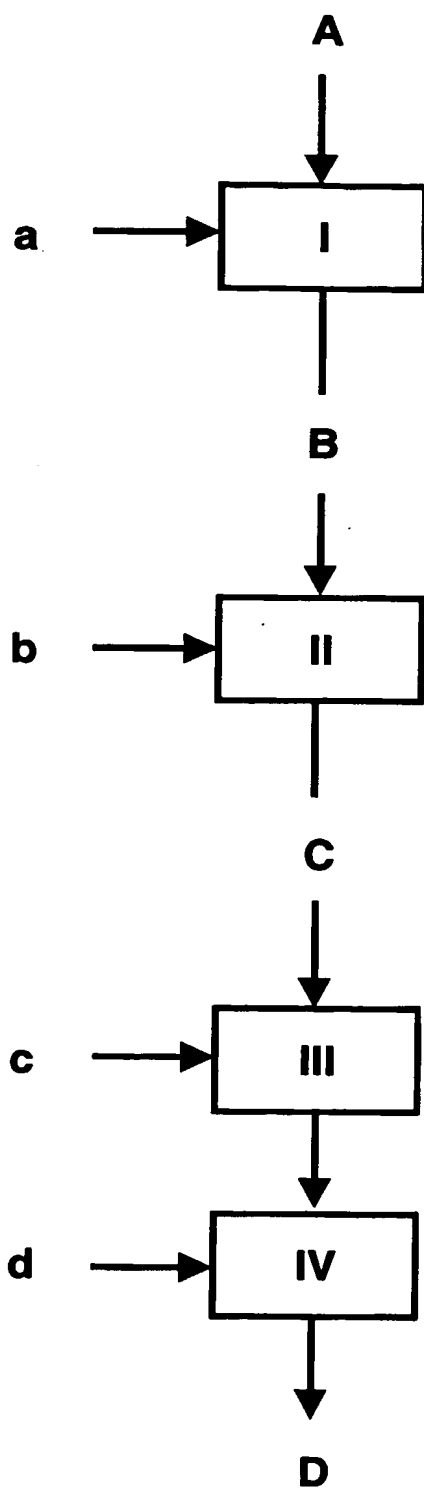
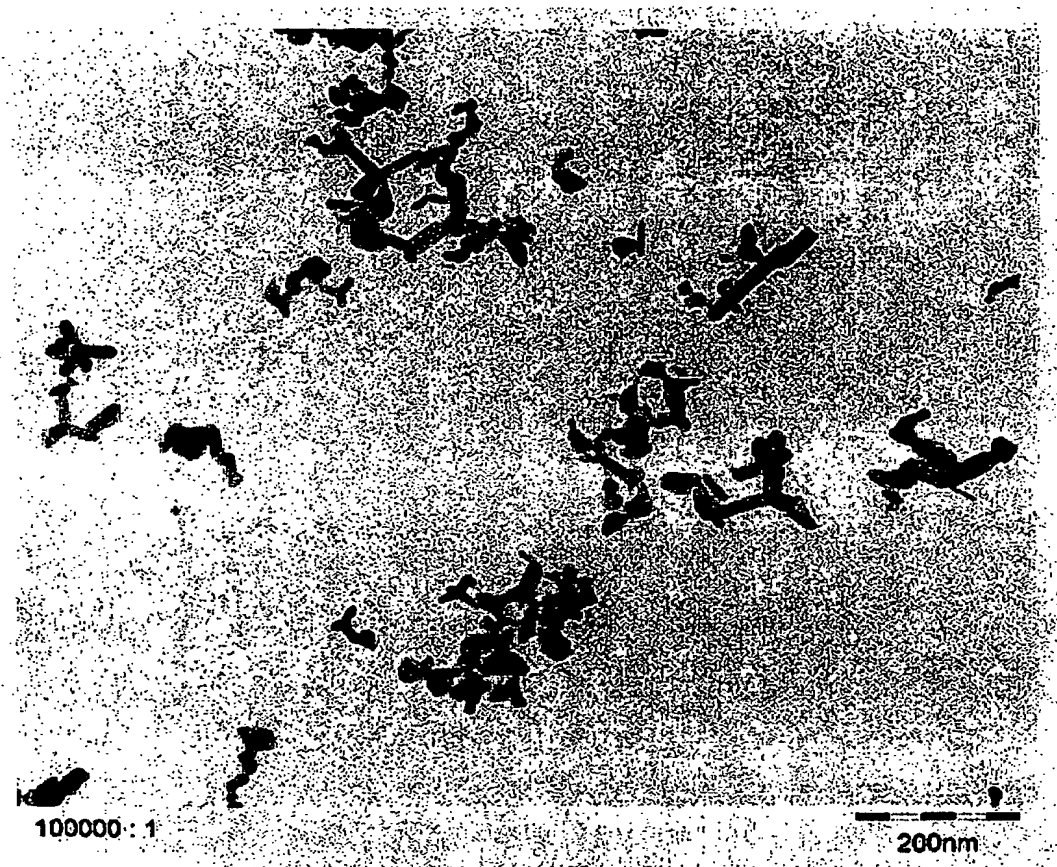


Figure 1

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**Figure 2**

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/02421

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C01G9/03

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C01G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 441 726 A (MUHAMMED MAMOUN ET AL) 15 August 1995 (1995-08-15) cited in the application	1-7, 15
A	column 8, line 36-46; figure 4 column 9, line 10-24 ---	8-14
A	GB 435 005 A (AMERICAN ZINC LEAD & SMELTING) 12 September 1935 (1935-09-12) claims 1-11 --- -/--	8-14

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

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- \*E\* earlier document but published on or after the international filing date
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- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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## INTERNATIONAL SEARCH REPORT

International Application No

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>FUNAHASHI T ET AL: "MANUFACTURE OF ZINC OXIDE FINE PARTICLES WITH LARGE SPECIFIC SURFACE AREA" CHEMICAL ABSTRACTS + INDEXES, AMERICAN CHEMICAL SOCIETY. COLUMBUS, US, vol. 109, no. 22, 1 November 1988 (1988-11-01), page 167 XP000019653 ISSN: 0009-2258 abstract</p> <p>---</p>	1-15
A	<p>PATENT ABSTRACTS OF JAPAN vol. 1995, no. 08, 29 September 1995 (1995-09-29) &amp; JP 07 118133 A (SAKAI CHEM IND CO LTD), 9 May 1995 (1995-05-09) abstract</p> <p>-----</p>	1-15

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